

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLIC	CATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10	711,582	09/27/2004	Scott J. Butler	04-1-776	5581
24	252	7590 08/25/2005		EXAMINER	
0	SRAM SY	LVANIA INC	TRAN, THUY V		
100 ENDICOTT STREET DANVERS, MA 01923				ART UNIT	PAPER NUMBER
D	DANVERS, WA 01923			2821	

DATE MAILED: 08/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

			AK		
	Application No.	Applicant(s)			
	10/711,582	BUTLER ET AL.			
Office Action Summary	Examiner	Art Unit			
	Thuy V. Tran	2821			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence ad	dress		
A SHORTENED STATUTORY PERIOD FOR REPLY	/ IS SET TO EXPIRE 03 MONTH	I(S) FROM			
THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period was provided to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be time within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely the mailing date of this or D (35 U.S.C. § 133).	/. mmunication.		
Status					
1) Responsive to communication(s) filed on 27 Se	eptember 2004.				
2a) ☐ This action is <b>FINAL</b> . 2b) ☒ This	action is non-final.				
3) Since this application is in condition for allowar			merits is		
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.			
Disposition of Claims					
4) Claim(s) <u>1-7</u> is/are pending in the application.					
4a) Of the above claim(s) is/are withdraw	vn from consideration.				
5) Claim(s) is/are allowed.					
6)⊠ Claim(s) <u>1-7</u> is/are rejected.			,		
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or	r election requirement.				
Application Papers					
9) The specification is objected to by the Examiner.					
10)⊠ The drawing(s) filed on <u>27 September 2004</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Ex					
	ammer. Note the attached Office	Action of lomin	0-132.		
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> </ul>					
2. Certified copies of the priority documents		on No			
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).					
Attachment(s)					
1) X Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)			
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	ate	150		
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 09/27/2004.	5) Notice of Informal P 6) Other:	atent Application (PTC	J-192)		

#### **DETAILED ACTION**

This is a response to the Applicants' filing on 09/27/2004. In virtue of this filing, claims 1-7 are currently presented in the instant application.

#### Inventorship

1. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

#### Information Disclosure Statement

2. The information disclosure statement (IDS) submitted on 09/27/2004 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

#### **Drawings**

3. The drawings received on 09/27/2004 are accepted.

# Claim Objections/ Minor Informalities

4. Claims 2 and 6 are objected to because of the following informalities:

Claim 2, line 2, "the" should be changed to --a--; and

Claim 6, line 7, "the" should be changed to --a--.

Appropriate correction is required.

Application/Control Number: 10/711,582 Page 3

Art Unit: 2821

# Claim Rejections - 35 USC § 112

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

6. Claims 1-5 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

With respect to claim 1, the recitation "a translucent body formed from a high temperature material ... the high temperature material" in lines 2-8 renders the claim indefinite since it is not clear whether or not the translucent body and the starting aid are formed of the same high temperature material. Clarification is required.

With respect to claim 2, the recitation "wherein the high temperature material is alumina" in lines 1-2 renders the claim indefinite since it is not clear whether or not this high temperature material is of the translucent body or the starting aid. Clarification is required.

Claims 2-5 are also rejected under 35 U.S.C. 112, second paragraph, since they are dependent on claim 1.

### Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 8. Claims 1-4 and 6 are rejected under 35 U.S.C. 102(b) as being anticipated by Sivaraman et al. (U.S. Patent No. 6,563,265).

Art Unit: 2821

With respect to claim 1, as to the best interpretation, Sivaraman et al. discloses, in Fig. 1, an arc tube for a high intensity discharge lamp comprising (1) a translucent body [22] (see col. 1, lines 15-18) formed from a high temperature material (see col. 3, lines 19-43) and defining a discharge space and including spaced-apart electrodes [14, 16] (see Fig. 1), (2) an arc generating and sustaining medium within said discharge space (see col. 2, lines 42-51), and (3) a starting aid [24] contained within said discharge space [22]; said starting aid comprising an electrically conductive stripe (see col. 3, lines 7-9) formed from a mixture of an electrically conductive material (see col. 4, lines 33-41) and the high temperature material (see col. 2, lines 20-23).

With respect to claim 2, Sivaraman et al. discloses that the high temperature material is alumina and said starting aid is comprised of a cermet selected from a group consisting of tungsten and alumina and molybdenum and alumina (which is alumina; see col. 3, line 23).

With respect to claim 3, Sivaraman et al. discloses, in Fig. 1, that the translucent body is cylindrical.

With respect to claim 4, Sivaraman et al. discloses, in Fig. 1, that the ceramic material is alumina (see col. 3, line 23).

With respect to claim 6, Sivaraman et al. discloses, in Fig. 1, an arc tube for a high intensity discharge lamp comprising (1) a translucent body [22] (see col. 1, lines 15-18) formed from a high temperature material (see col. 3, lines 19-43) and defining a discharge space and including spaced-apart electrodes [14, 16] (see Fig. 1), (2) an arc generating and sustaining medium within said discharge space (see col. 2, lines 42-51), and (3) a starting aid [24] contained within said discharge space [22]; said starting aid comprising an electrically conductive stripe

Art Unit: 2821

(see col. 3, lines 7-9) of a cermet selected from a group consisting of tungsten and alumina and molybdenum and alumina (which is alumina; see col. 3, line 23).

9. Claims 1-7 are rejected under 35 U.S.C. 102(b) as being anticipated by Scott et al. (U.S. Patent No. 6,538,377).

With respect to claim 1, as to the best interpretation, Scott et al. discloses, in Fig. 1, an arc tube for a high intensity discharge lamp comprising (1) a translucent body [22] (see col. 1, lines 15-18) formed from a high temperature material (see col. 3, lines 3-28) and defining a discharge space and including spaced-apart electrodes [14, 16] (see Fig. 1), (2) an arc generating and sustaining medium within said discharge space (see col. 2, lines 34-37), and (3) a starting aid [24/25] contained within said discharge space [22]; said starting aid comprising an electrically conductive stripe (see col. 2, line 67 – col. 3, line 2) formed from a mixture of an electrically conductive material and the high temperature material (see col. 3, line 34 – col. 4, line 10).

With respect to claim 2, Scott et al. discloses that the high temperature material is alumina and said starting aid is comprised of a cermet selected from a group consisting of tungsten and alumina and molybdenum and alumina (see col. 3, line 5 - col. 4, line 10).

With respect to claim 3, Fig. 1 of Scott et al. shows that the translucent body is cylindrical.

With respect to claim 4, Scott et al. discloses, in Fig. 1, that the ceramic material is alumina (see col. 3, line 7).

Art Unit: 2821

With respect to claim 5, Scott et al. discloses that said starting aid is a cermet of tungsten and alumina and contains about 60 volume % tungsten and about 40 volume % alumina (see col. 3, line 63 – col. 4, line 10).

With respect to claim 6, Scott et al. discloses, in Fig. 1, an arc tube for a high intensity discharge lamp comprising (1) a translucent body [22] (see col. 1, lines 15-18) formed from a high temperature material (see col. 3, lines 3-28) and defining a discharge space and including spaced-apart electrodes [14, 16] (see Fig. 1), (2) an arc generating and sustaining medium within said discharge space (see col. 2, lines 34-37), and (3) a starting aid [24/25] contained within said discharge space [22]; said starting aid comprising an electrically conductive stripe (see col. 2, line 67 – col. 3, line 2) of a cermet selected from a group consisting of tungsten and alumina and molybdenum and alumina (see col. 3, line 63 – col. 4, line 10).

With respect to claim 7, Scott et al. discloses that said starting aid is a cermet of tungsten and alumina and contains about 60 volume % tungsten and about 40 volume % alumina (see col. 3, line 63 – col. 4, line 10).

# Citation of relevant prior art

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Prior art Renardus et al. (U.S. Patent No. 5,541,480) discloses a high-pressure discharge lamp with metal layer on outer surface.

Application/Control Number: 10/711,582 Page 7

Art Unit: 2821

# **Inquiry**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thuy V. Tran whose telephone number is (571) 272-1828. The examiner can normally be reached on M-F (8:00 AM -5:00 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Don Wong can be reached on (571) 272-1834. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

08/23/2005

THUY V.TRAN RIMARY EXAMPLER